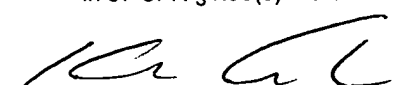
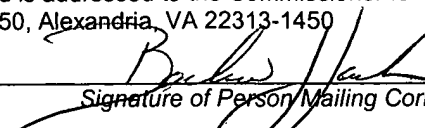


IFW

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Under 37 CFR 1.97(b), (c), or (d)			Docket No. 4100P9/CMP/CMP/RKK
In re Application of: Hu, et al.			
Serial No. 10/727,724	Filing Date December 3, 2003	Examiner	Group Art Unit
THE INFORMATION DISCLOSURE STATEMENT FOR ELECTROCHEMICAL MECHANICAL POLISHING			
Address to: Commissioner for Patents Alexandria, VA 22313-1450			
37 CFR 1.97(b)			
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.			
37 CFR 1.97(c)			
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), but prior to the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by the statement or fee as indicated below.			
37 CFR 1.97(d)			
3. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), but on or before payment of the issue fee and is accompanied by the statement and fee as indicated below.			
Required Statements and/or Fees Under 37 CFR 1.97(c) or (d)			
<input type="checkbox"/> Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))			
<input type="checkbox"/> No item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(2))			
<input type="checkbox"/> The fee set forth in 37 CFR 1.17(p). Please credit any overpayment or charge any insufficiencies to deposit account number 20-0782.			
37 CFR §1.704(d)			
4. <input type="checkbox"/> Each item of information in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 CFR §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.			
 Keith P. Taboada, Esq. Reg. No. 45,150 Moser, Patterson & Sheridan, LLP Attorneys at Law 595 Shrewsbury Avenue, Suite 100 Shrewsbury, New Jersey 07702 732-530-9404		Dated: <u>Jan 25, 2005</u> Certificate of Mailing by First Class Mail I certify that this document is being deposited on <u>1-27-2005</u> with the U.S. Postal Service as first class mail under 37 CFR §1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450  Signature of Person Mailing Correspondence Barbara J. Jackson Typed or Printed Name of Person Mailing Correspondence	



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

of 6

Application Number	10/727,724
Filing Date	December 3, 2003
First Named Inventor	Hu, et al.
Group Art Unit	3723
Examiner Name	Thomas, David B.
Attorney Docket Number	4100P9/CMP/CMP/RKK
Submission Date	

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US-1,601,642	09/28/26	Parker	
	A2	US-1,927,162	09/19/33	Fiedler et al.	
	A3	US-2,112,691	03/29/38	Crowder	
	A4	US-2,240,265	04/29/41	Nachtman	
	A5	US-2,392,687	01/08/46	Nachtman	
	A6	US-2,431,065	11/18/47	Miller	
	A7	US-2,451,341	10/12/48	Jernstedt	
	A8	US-2,453,481	11/09/48	Wilson	
	A9	US-2,454,935	11/30/48	Miller	
	A10	US-2,456,185	12/14/48	Grube	
	A11	US-2,457,510	12/28/48	van Ornum	
	A12	US-2,458,676	01/11/49	Brenner et al.	
	A13	US-2,461,556	02/15/49	Lorig	
	A14	US-2,473,290	06/14/49	Millard	
	A15	US-2,477,808	08/02/49	Jones	
	A16	US-2,479,323	08/16/49	Davis	
	A17	US-2,480,022	08/23/49	Hogaboom	
	A18	US-2,490,055	12/06/49	Hoff	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B1	JP 05-277957	10/26/1993	Nippon Micro Kooteingu KK		X
	B2	JP 10-006213	1/13/1998	Tokyo Seimitsu Co Ltd		X
	B3	JP 2000-218513	8/8/2000	NEC Corp		X
	B4	JP 2001-179611	7/3/2001	NEC Corp		X
	B5	JP 2001-244223	9/7/2001	Hitachi Chem Co Ltd		X

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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Application Number

10/727,724

Filing Date

December 3, 2003

First Named Inventor

Hu, et al.

Group Art Unit

3723

Examiner Name

Thomas, David B.

Attorney Docket Number

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		Number-Kind Code ² (if known)			
	A19	US-2,495,695	01/31/50	Camin et al.	
	A20	US-2,500,205	03/14/50	Schaefer	
	A21	US-2,500,206	03/14/50	Schaefer et al.	
	A22	US-2,503,863	04/11/50	Bart	
	A23	US-2,506,794	05/09/50	Kennedy et al.	
	A24	US-2,509,304	05/30/50	Klein	
	A25	US-2,512,328	06/20/50	Hays	
	A26	US-2,517,907	08/08/50	Mikulas	
	A27	US-2,519,945	08/22/50	Twele et al.	
	A28	US-2,530,677	11/21/50	Berkenkotter et al.	
	A29	US-2,535,966	12/26/50	Teplitz	
	A30	US-2,536,912	01/02/51	Cobertt	
	A31	US-2,539,898	01/30/51	Davis	
	A32	US-2,540,175	02/06/51	Rosenqvist	
	A33	US-2,544,510	03/06/51	Prahl	
	A34	US-2,544,943	05/29/51	Farmer	
	A35	US-2,549,678	04/17/51	Fiandt	
	A36	US-2,556,017	06/05/51	Vonada	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B6	KR 2003-037158	05/12/2003	Samsung Electronics		Abst
	B7	JP 61265279	11/25/1986	Tohoku Metal Ind. Ltd.		Abst
	B8	JP-2870537	3/17/1999	NEC Corp		X
	B9	SU 1618538	1/7/1991	Rredriyatie		Abst
	B10	WO 93/15879	8/19/1993	3M Co.		

Examiner

Date Considered

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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Application Number

10/727,724

Filing Date

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First Named Inventor

Hu, et al.

Group Art Unit

3723

Examiner Name

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	A37	US-2,560,534	07/17/51	Adler	
	A38	US-2,560,966	07/17/51	Lee	
	A39	US-2,569,577	10/02/51	Reading	
	A40	US-2,569,578	10/02/51	Rieger	
	A41	US-2,571,709	10/16/51	Gray	
	A42	US-2,576,074	11/20/51	Nachtman	
	A43	US-2,587,630	03/04/52	Konrad et al.	
	A44	US-2,619,454	11/25/52	Zapponi	
	A45	US-2,633,452	03/31/53	Hogaboom, Jr., et al.	
	A46	US-2,646,398	07/21/53	Henderson	
	A47	US-2,656,283	10/20/53	Fink et al.	
	A48	US-2,656,284	10/20/53	Toulmin	
	A49	US-2,657,457	11/03/53	Toulmin	
	A50	US-2,657,177	10/27/53	Rendel	
	A51	US-2,673,836	03/30/54	Vonada	
	A52	US-2,674,550	04/06/54	Dunlevy et al.	
	A53	US-2,675,348	04/13/54	Greenspan	
	A54	US-2,680,710	06/08/54	Kenmore et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B11	WO 99/65072	12/16/1999	Speedfam-IPEC Corp		
	B12	WO 02/075804	9/26/2002	Applied Materials		
	B13					
	B14					
	B15					

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4

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Application Number	10/727,724
Filing Date	December 3, 2003
First Named Inventor	Hu, et al.
Group Art Unit	3723
Examiner Name	Thomas, David B.
Attorney Docket Number	4100P9/CMP/CMP/RKK
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		Number-Kind Code ² (if known)			
	A55	US-2,684,939	07/27/54	Geese	
	A56	US-2,689,215	09/14/54	Bart	
	A57	US-2,695,269	11/23/54	de Witz et al.	
	A58	US-2,696,859	08/17/54	Gray et al.	
	A59	US-2,698,832	01/04/95	Swanson	
	A60	US-2,706,173	04/12/55	Wells et al.	
	A61	US-2,706,173	04/12/55	Wells et al.	
	A62	US-2,706,175	04/12/55	Licharz	
	A63	US-2,708,445	05/17/55	Manson et al.	
	A64	US-2,710,834	06/14/55	Vrilakas	
	A65	US-2,711,993	06/28/55	Lyon	
	A66	US-3,607,707	09/21/71	Chenevier	
	A67	US-3,334,041	08/01/67	Dyer et al.	
	A68	US-3,433,730	03/18/69	Kennedy et al.	
	A69	US-3,476,677	11/04/69	Corley et al.	
	A70	US-3,942,959	03/09/76	Markoo, et al.	
	A71	US-3,992,178	11/16/76	Markoo, et al.	
	A72	US-4,119,515	10/10/79	Costakis	

A73

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	B16					
	B17					
	B18					
	B19					
	B20					

Examiner

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		Number-Kind Code ² (if known)			
	A73	US-4,312,716	01/26/82	Maschler et al.	
	A74	US-4,752,371	06/21/88	Kreisel et al.	
	A75	US-4,772,361	09/20/88	Dorsett, et al.	
	A76	US-5,061,294	10/29/91	Harmer, et al.	
	A77	US-5,066,370	11/19/91	Andreshak et al.	
	A78	US-5,562,529	10/08/96	Kishii, et al.	
	A79	US-5,823,854	10/20/98	Chen	
	A80	US-5,882,491	03/16/99	Wardle	
	A81	US-5,948,697	09/07/99	Hata	
	A82	US-5,985,093	11/16/99	Chen	
	A83	US-6,176,998	01/23/01	Wardle et al.	
	A84	US-6,183,354	02/06/01	Zuniga, et al.	
	A85	US-6,190,494	02/20/01	Dow	
	A86	US-6,319,420	11/20/01	Dow	
	A87	US-6,402,925	06/11/02	Talieh	
	A88	US-6,431,968	08/13/02	Chen, et al.	
	A89	US-6,447,668	09/10/02	Wang	

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	B22					
	B23					
	B24					
	B25					

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Sheet 6

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First Named Inventor

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		Number-Kind Code ² (if known)			
	A91	US-6,479,962	11/12/02	Ziemkowski, et al.	
	A92	US-6,537,144	03/25/03	Tsai, et al.	
	A93	US-6,666,959	12/23/03	Uzoh, et al.	
	A94	US-2002/0123300	09/05/02	Jones, et al.	
	A95	US-2003/0034131	02/20/03	Park et al.	
	A96	US-			
	A97	US-			
	A98	US-			
	A99	US-			
	A100	US-			
	A101	US-			
	A102	US-			
	A103	US-			
	A104	US-			
	A105	US-			
	A106	US-			
	A107	US-			
	A108	US-			

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	B27					
	B28					
	B29					
	B30					

Examiner

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Docket No.: 4100P9 / CMP / CMP / RKK
Applicant: Hu, et al
Filed: December 3, 2003

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